



CONVENTION ON MIGRATORY SPECIES

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CONCERTED AND COOPERATIVE ACTIONS

Adopted by the Conference of the Parties at its 11th Meeting (Quito, 4-9 November 2014)

Recalling the preamble of the Convention which refers to the Parties' conviction that conservation and management of migratory species require the concerted action of all Range States;

Further recalling Resolution 3.2 which instructed the Secretariat and the Scientific Council to encourage and assist Parties to take concerted actions to implement the provisions of the Convention, and which initiated a process for each meeting of the Conference of Parties to recommend initiatives to benefit a selected number of species listed in Appendix I;

Further recalling Recommendation 5.2 which introduced the concept of "Cooperative Action" as a rapid mechanism to assist the conservation of species listed in Appendix II and to act as a precursor or alternative to the conclusion for any of those species of an agreement under Article IV;

Recalling also the recommendations for improving the process for Concerted and Cooperative Actions under CMS as detailed in Annex 3 to Resolution 10.23, and *noting* the proposals of the Secretariat and the Scientific Council to address part of those recommendations, as detailed in document UNEP/CMS/COP11/Doc.22.4/ANNEX I;

Recalling also that Resolution 3.2, as updated by Resolutions 4.2, 5.1, 6.1, 7.1, 8.29, 9.1 and 10.23, and Recommendation 6.2, as updated by Recommendations 7.1, 8.28, and Resolution 9.1 and 10.23, advise the Secretariat and the Scientific Council to encourage and assist Parties to take Concerted and Cooperative Actions to implement the provisions of the Convention and to improve the conservation status of certain listed migratory species;

Welcoming the conservation activities undertaken by Parties and other organizations for Appendix I species designated for Concerted Action and for Appendix II species designated for Cooperative Action as summarized in the report of the 18th Meeting of the CMS Scientific Council; and

Noting the recommendations of the 18th Meeting of the Scientific Council to the 11th Meeting of the Conference of the Parties on species to be considered for concerted and cooperative action for the period 2015-2017;

*The Conference of the Parties to the
Convention on the Conservation of Migratory Species of Wild Animals*

1. *Adopts* the lists of species designated for Concerted and Cooperative Actions in Annexes 1 and 2 of this Resolution, and *encourages* Parties and other stakeholders to identify and undertake activities aimed at implementing Concerted and Cooperative Actions to improve the conservation status of listed species, including the preparation of species action plans, during the 2015-2017 triennium;
2. *Urges* Parties to provide the in-kind and financial means required to support targeted conservation measures aimed at implementing Concerted and Cooperative Actions for the species listed in Annexes 1 and 2 to this Resolution;
3. *Encourages* Parties to ensure that all initiatives to undertake Concerted or Cooperative Actions pursuant to this Resolution must include a specification of the conservation and institutional outcomes expected and the timeframes within which these outcomes should be achieved;
4. *Endorses* the recommendations for enhancing the effectiveness of the Concerted and Cooperative Actions process as detailed in document UNEP/CMS/COP11/Doc.22.4/ANNEX I and summarized in Annex 3 to this Resolution; and
5. *Requests* the Secretariat, the Scientific Council and Parties, and *invites* other relevant stakeholders to take these recommendations fully into account in the identification of candidate species for designation for Concerted or Cooperative Action, and in the identification and subsequent implementation of action to take in response to Concerted or Cooperative Action listing.

Annex 1 to Resolution 11.13

SPECIES DESIGNATED FOR CONCERTED ACTIONS DURING 2015-2017

Species (scientific name)	Species (common name)	CMS instrument or process	Is the entire range mandated for protection under CMS covered by a CMS instrument? (Y/N)	Year of adoption
(CLASS) AVES				
(ORDER) SPHENISCIFORMES				
<i>(Family) Spheniscidae</i>				
<i>Spheniscus humboldti</i>	Humboldt Penguin	-	No	COP6 (1999)
PROCELLARIIFORMES				
<i>Procellariidae</i>				
<i>Puffinus mauretanicus</i>	Balearic Shearwater	ACAP (since 2012)	Yes	COP8 (2005)
PELECANIFORMES				
<i>Pelecanidae</i>				
<i>Pelecanus crispus</i>	Dalmatian Pelican	African-Eurasian Waterbird Agreement (in force since 1999)	No	COP9 (2008)
ANSERIFORMES				
<i>Anatidae</i>				
<i>Anser cygnoides</i>	Swan goose	-	No	COP9 (2008)
<i>Anser erythropus</i>	Lesser White-fronted Goose	Action Plan (adopted in 2008) under African-Eurasian Waterbird Agreement (in force since 1999)	No	COP5 (1997)
<i>Marmaronetta angustirostris</i>	Marbled Duck	African-Eurasian Waterbird Agreement (in force since 1999); Central Asian Flyway	Yes	COP9 (2008)
<i>Aythya nyroca</i>	Ferruginous Duck	Action Plan (adopted in 2005) under African-Eurasian Waterbird Agreement (in 1999); Central Asian Flyway	Yes	COP6 (1999)
<i>Oxyura leucocephala</i>	White-headed Duck	African-Eurasian Waterbird Agreement (in force since 1999); Central Asian Flyway	Yes	COP4 (1994)

Species (scientific name)	Species (common name)	CMS instrument or process	Is the entire range mandated for protection under CMS covered by a CMS instrument? (Y/N)	Year of adoption
FALCONIFORMES				
<i>Falconidae</i>				
<i>Falco cherrug</i>	Saker Falcon	Raptors MoU (in force since 2008)	No	COP10 (2011)
GRUIFORMES				
<i>Otididae</i>				
<i>Chlamydotis undulata</i> (only Northwest African populations)	Houbara Bustard	-	No	COP3 (1991)
CHARADRIIFORMES				
<i>Scolopacidae</i>				
<i>Calidris canutus rufa</i>	Red Knot	-	No	COP8 (2005)
<i>Calidris tenuirostris</i>	Great Knot	African-Eurasian Waterbird Agreement (in force since 1999); Central Asian Flyway	No	COP11 (2014)
<i>Numenius madagascariensis</i>	Far-Eastern Curlew	-	No	COP10 (2011)
<i>Numenius tahitiensis</i>	Bristle-thighed Curlew	-	No	COP10 (2011)
PASSERIFORMES				
<i>Hirundinidae</i>				
<i>Hirundo atrocaerulea</i>	Blue Swallow	-	No	COP6 (1999)
MAMMALIA (AQUATIC)				
CETACEA				
<i>Physeteridae</i>				
<i>Physeter macrocephalus</i>	Sperm Whale	ACCOBAMS (in force since 2001); Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Platanistidae</i>				
<i>Platanista gangetica gangetica</i>	Ganges River Dolphin	-	No	COP9 (2008)
<i>Pontoporiidae</i>				
<i>Pontoporia blainvillei</i>	La Plata Dolphin, Franciscana	-	No	COP5 (1997)

Species (scientific name)	Species (common name)	CMS instrument or process	Is the entire range mandated for protection under CMS covered by a CMS instrument? (Y/N)	Year of adoption
<i>Delphinidae</i>				
<i>Sousa teuszii</i>	Atlantic humpback dolphin	Western African Aquatic Mammals MoU (in force since 2008)	Yes	COP9 (2008)
<i>Ziphiidae</i>				
<i>Ziphius cavirostris</i> (only Mediterranean subpopulation)	Cuvier's beaked whale	ACCOBAMS (in force since 2001)	Yes	COP11 (2014)
<i>Balaenopteridae</i>				
<i>Balaenoptera borealis</i>	Sei Whale	ACCOBAMS (in force since 2001); Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Balaenoptera physalus</i>	Fin Whale	ACCOBAMS (in force since 2001); Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Balaenoptera musculus</i>	Blue Whale	ACCOBAMS (in force since 2001); Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Megaptera novaeangliae</i>	Humpback Whale	ACCOBAMS (in force since 2001); Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Balaenidae</i>				
<i>Eubalaena australis</i>	Southern Right Whale	Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Eubalaena glacialis</i>	North Atlantic Right Whale	-	No	1979
<i>Eubalaena japonica</i>	North Pacific Right Whale	-	No	1979
CARNIVORA				
<i>Mustelidae</i>				
<i>Lontra felina</i>	Southern Marine Otter	-	No	COP6 (1999)
<i>Lontra provocax</i>	Southern River Otter	-	No	COP6 (1999)
<i>Phocidae</i>				
<i>Monachus monachus</i>	Mediterranean Monk Seal	Monk Seal MoU (in force since 2007; but only covering Eastern Atlantic populations)	No	COP4 (1994)

Species (scientific name)	Species (common name)	CMS instrument or process	Is the entire range mandated for protection under CMS covered by a CMS instrument? (Y/N)	Year of adoption
SIRENIA				
<i>Trichechidae</i>				
<i>Trichechus senegalensis</i>	West African Manatee	Western African Aquatic Mammals MoU (in force since 2008)	Yes	(COP9) 2008
MAMMALIA (TERRESTRIAL)				
CARNIVORA				
<i>Felidae</i>				
<i>Uncia uncia</i>	Snow Leopard	-	No	COP7 (2002)
<i>Acinonyx jubatus</i> (excluding populations in Botswana, Namibia & Zimbabwe)	Cheetah	-	No	COP9 (2008)
ARTIODACTYLA				
<i>Camelidae</i>				
<i>Camelus bactrianus</i>	Bactrian Camel	-	No	COP8 (2005)
<i>Bovidae</i>				
<i>Bos grunniens</i>	Wild Yak	-	No	COP8 (2005)
<i>Addax nasomaculatus</i>	Addax	Action Plan	Yes	COP3 (1991)
<i>Nanger dama</i> (Formerly listed as <i>Gazella dama</i>)	Dama Gazelle	Action Plan	Yes	COP4 (1994)
<i>Gazella dorcas</i> (only Northwest African populations)	Dorcas Gazelle	Action Plan	Yes	COP3 (1991)
<i>Gazella leptoceros</i>	Slender-horned Gazelle	Action Plan	Yes	COP3 (1991)
<i>Oryx dammah</i>	Scimitar-horned Oryx	Action Plan	Yes	COP4 (1994)
<i>Eudorcas rufifrons</i>	Red-fronted Gazelle	-	No	COP11 (2014)
REPTILIA (MARINE TURTLES)				
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Annex 2 to Resolution 11.13

SPECIES DESIGNATED FOR COOPERATIVE ACTIONS DURING 2015-2017

Scientific name	Common name	CMS instrument or process	Is the entire range mandated for protection under CMS covered by a CMS instrument? (Y/N)	Year of adoption
(CLASS) AVES				
(ORDER) GALLIFORMES				
<i>(Family) Phasianidae</i>				
<i>Coturnix coturnix coturnix</i>	Quail	-	No	COP5 (1997)
GRUIFORMES				
<i>Rallidae</i>				
<i>Crex crex</i>	Corncrake	Action Plan (adopted in 2005) under African-Eurasian Waterbird Agreement (in force since 1999)	No	COP5 (1997)
CHARADRIIFORMES				
<i>Scolopacidae</i>				
<i>Calidris pusilla</i>	Semi-palmated Sandpiper	-	No	1979
<i>Limosa lapponica</i>	Bar-tailed Godwith	African-Eurasian Waterbird Agreement (in force since 1999); Central Asian Flyway	No	1979
PISCES				
ACIPENSERIFORMES				
<i>Acipenseridae</i>				
<i>Huso huso</i>	Giant Sturgeon, Beluga	-	No	COP6 (1999)
<i>Huso dauricus</i>	Kaluga Sturgeon	-	No	COP6 (1999)
<i>Acipenser baerii baicalensis</i>	Baikal Sturgeon	-	No	COP6 (1999)
<i>Acipenser gueldenstaedtii</i>	Russian Sturgeon, Ossetra	-	No	COP6 (1999)
<i>Acipenser medirostris</i>	Green Sturgeon	-	No	COP6 (1999)
<i>Acipenser mikadoi</i>	Sakhalin Sturgeon	-	No	COP6 (1999)
<i>Acipenser naccarii</i>	Adriatic Sturgeon, Italian Sturgeon	-	No	COP6 (1999)
<i>Acipenser nudiiventris</i>	Ship Sturgeon, Spiny Sturgeon	-	No	COP6 (1999)
<i>Acipenser persicus</i>	Persian Sturgeon	-	No	COP6 (1999)

Scientific name	Common name	CMS instrument or process	Is the entire range mandated for protection under CMS covered by a CMS instrument? (Y/N)	Year of adoption
<i>Acipenser ruthenus</i> (only Danube population)	Sterlet	-	No	COP6 (1999)
<i>Acipenser schrenckii</i>	Amur Sturgeon	-	No	COP6 (1999)
<i>Acipenser sinensis</i>	Chinese Sturgeon	-	No	COP6 (1999)
<i>Acipenser stellatus</i>	Stella Sturgeon, Sevruga, Star Sturgeon	-	No	COP6 (1999)
<i>Acipenser sturio</i>	Common Sturgeon, Atlantic Sturgeon, Baltic Sturgeon, German Sturgeon	-	No	COP6 (1999)
<i>Pseudoscaphirhynchus kaufmanni</i>	Large Amu-Dar Shovelnose, False Shovelnose, Shovelfish	-	No	COP6 (1999)
<i>Pseudoscaphirhynchus hermanni</i>	Small Amu-Dar Shovelnose	-	No	COP6 (1999)
<i>Pseudoscaphirhynchus fedtschenkoi</i>	Syr-Dar Shovelnose	-	No	COP6 (1999)
<i>Psephurus gladius</i>	Chinese Paddlefish, Chinese Swordfish, White Sturgeon	-	No	COP6 (1999)
MAMMALIA (AQUATIC)				
CETACEA				
<i>Iniidae</i>				
<i>Inia geoffrensis</i>	Amazon river dolphin	-	No	COP3 (1991)
<i>Monodontidae</i>				
<i>Delphinapterus leucas</i>	Beluga	-	No	1979
<i>Monodon monoceros</i>	Narwhal	-	No	COP10 (2011)
<i>Phocoenidae</i>				
<i>Phocoena spinipinnis</i>	Burmeister Porpoise	-	No	COP6 (1999)
<i>Phocoena dioptrica</i>	Spectacled Porpoise	-	No	COP6 (1999)
<i>Neophocaena phocaenoides</i>	Finless Porpoise	-	No	COP7 (2002)
<i>Delphinidae</i>				
<i>Sousa chinensis</i>	Indo-Pacific Humpbacked Dolphin, Chinese White Dolphin	Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Sotalia fluviatilis</i>	Tucuxi	-	No	COP3 (1991)
<i>Sotalia guianensis</i>	Guiana dolphin	-	No	COP3 (1991)

Scientific name	Common name	CMS instrument or process	Is the entire range mandated for protection under CMS covered by a CMS instrument? (Y/N)	Year of adoption
<i>Lagenorhynchus obscurus</i>	Dusky Dolphin	West African Aquatic Mammals MoU (in force since 2008); Pacific Cetaceans MoU (in force since 2006)	No	COP6 (1999)
<i>Lagenorhynchus australis</i>	Peale's Dolphin, Blackchin Dolphin	-	No	COP6 (1999)
<i>Tursiops aduncus</i>	Indian or Bottlenose Dolphin	Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Stenella attenuata</i> (only eastern tropic Pacific & Southeast Asian populations)	Pantropical Spotted Dolphin, Bridled Dolphin	West African Aquatic Mammals MoU (in force since 2008); Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Stenella longirostris</i> (only eastern tropical Pacific & Southeast Asian populations)	Spinner Dolphin	West African Aquatic Mammals MoU (in force since 2008); Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Lagenodelphis hosei</i> (only Southeast Asian populations)	Fraser's Dolphin	West African Aquatic Mammals MoU (in force since 2008); Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Orcaella brevirostris</i>	Irrawaddy Dolphin	Pacific Cetaceans MoU (in force since 2006)	No	COP7 (2002)
<i>Cephalorhynchus commersonii</i> (only South American population)	Commerson's Dolphin	-	No	COP6 (1999)
<i>Cephalorhynchus eutropia</i>	Chilean Dolphin	-	No	COP6 (1999)
<i>Orcinus orca</i>	Killer Whale	ACCOBAMS (in force since 2001); ASCOBANS (in force since 1994/2008); Pacific Cetaceans MoU (in force since 2006); West African Aquatic Mammals MoU (in force since 2008)	No	COP10 (2011)

Scientific name	Common name	CMS instrument or process	Is the entire range mandated for protection under CMS covered by a CMS instrument? (Y/N)	Year of adoption
SIRENIA				
<i>Trichechidae</i>				
<i>Trichechus inunguis</i>	Amazon Manatee	-	No	COP7 (2002)
<i>Ursidae</i>				
<i>Ursus maritimus</i>	Polar Bear	-	No	COP11 (2014)
MAMMALIA (TERRESTRIAL)				
CHIROPTERA				
<i>Vespertilionidae</i>				
<i>Miniopterus schreibersii</i> (African and European populations)	Schreiber's Bent-winged Bat	EUROBATS (in force since 1994)	No	COP8 (2005)
<i>Molossidae</i>				
<i>Otomops martiensseni</i> (only African populations)	Large-eared Free-tailed Bat	-	No	COP8 (2005)
<i>Otomops madagascariensis</i> Formerly included in <i>Otomops martiensseni</i>	Madagascar Free-tailed Bat	-	No	COP8 (2005)
<i>Pteropodidae</i>				
<i>Eidolon helvum</i> (only African populations)	Straw-coloured Fruit Bat	-	No	COP8 (2005)
CARNIVORA				
<i>Canidae</i>				
<i>Lycaon pictus</i>	African Wild Dog	-	No	COP9 (2008)
PROBOSCIDEA				
<i>Elephantidae</i> (Central African populations only)				
<i>Loxodonta africana</i>	African Bush Elephant	West African Elephant MoU (in force since 2005)	No	COP6 (1999)
<i>Loxodonta cyclotis</i> (Formerly included in <i>Loxodonta africana</i>)	African Forest Elephant	-	No	COP6 (1999)

Scientific name	Common name	CMS instrument or process	Is the entire range mandated for protection under CMS covered by a CMS instrument? (Y/N)	Year of adoption
PERISSODACTYLA				
<i>Equidae</i>				
<i>Equus hemionus</i> This includes <i>Equus onager</i>	Asiatic Wild Ass	-	No	COP8 (2005)
ARTIODACTYLA				
<i>Bovidae</i>				
<i>Gazella subgutturosa</i>	Goitered Gazelle	-	No	COP8 (2005)
<i>Procapra gutturosa</i>	Mongolian Gazelle	-	No	COP8 (2005)
<i>Ammotragus lervia</i>	Barbary Sheep	-	No	COP10 (2011)
<i>Ovis ammon</i>	Argali Sheep	-	No	COP10 (2011)
<i>Kobus kob leucotis</i>	White-eared kob	-	No	COP11 (2014)

Annex 3 to Resolution 11.13

RECOMMENDATIONS FOR ENHANCING THE EFFECTIVENESS OF THE CONCERTED AND COOPERATIVE ACTIONS PROCESS

The recommendations below are derived from the report “Improving the process for concerted and cooperative actions” which was compiled in response to requests in Annex 3 to COP Resolution 10.23 (2011), and was provided to COP11 as document UNEP/CMS/COP11/Doc.22.4/ANNEX I.

1. It is recommended that the two processes (Concerted Actions, normally for selected Appendix I species; and Cooperative Actions, normally for selected Appendix II species) be consolidated. While a variety of approaches may continue to be taken to the purposes defined and activities undertaken in each individual case, a unified system will help to provide the greater clarity and streamlining that has been sought for some years.
2. To effect this consolidation, all future proposals (from COP12 onwards) would be made for Concerted Actions only. The Concerted Actions mechanism would be applicable to both Appendix I and Appendix II species, and its scope would broaden to include all of the kinds of activity previously pursued through Cooperative Actions, as well as those normally pursued through Concerted Actions. The Cooperative Actions mechanism itself would cease to exist.
3. Species previously listed for cooperative action, but for which no activity has yet begun, would be automatically transferred into a new unified Concerted Actions list. The list would be subject to review by the Scientific Council and the COP, to determine whether each such species should remain listed or be deleted.
4. Projects and initiatives already begun as Cooperative Actions under earlier COP decisions would continue unaffected. These too however would be subject to review by the Scientific Council and the COP. Such reviews may conclude, *inter alia*, that the objectives of a given action have been achieved and it has been completed, or that it should continue within the terms of the unified Concerted Actions mechanism (and be re-named accordingly).
5. It is recommended that proposals for future Concerted Action listing decisions should include a specification of certain standard items of information, according to the headings listed below. (Further guidance on issues to address under each of these is given in document UNEP/CMS/COP11/Doc.22.4/ANNEX I). The information compiled should as far as possible provide a balanced assessment of the advantages and risks associated with each issue, rather than being seen solely as a tool for persuasion.

A. Target species/population(s), and their status in CMS Appendices

A concerted action may address a single species, lower taxon or population, or a group of taxa with needs in common. The target animals in each case should be clearly defined, including by reference to their status in terms of the CMS Appendices and the geographical range(s) concerned.

B. The case for action

To be assessed according to the following criteria:

(i) Conservation priority

May relate to the degree of endangerment or unfavourable conservation status as defined under the Convention; the urgency with which a particular kind of action is required; and other priorities expressed in CMS decisions.

(ii) Relevance

May relate to the degree to which the particular conservation problem is linked to migration and requires collective multilateral action; and the degree to which the proposed action will fulfil specific CMS mandates.

(iii) Absence of better remedies

An options analysis to test whether (and why) a CMS Concerted Action is the best method of meeting the defined conservation need. Alternatives both within and outside the mechanisms of the CMS should be considered¹.

(iv) Readiness and feasibility

The proposal will need to demonstrate meaningful prospects for funding and leadership, and to address all significant issues of practical feasibility for undertaking the action.

(v) Likelihood of success

Feasibility (see previous criterion) only concerns whether an action is likely to be implementable. Criterion (v) seeks in addition to assess whether implementation is likely to lead to the intended outcome. Risk factors to consider include: uncertainty about the ecological effects; weakness in the underpinning science; lack of a “legacy mechanism” by which results can be sustained; and activities by others that may undermine or negate the results of the action.

(vi) Magnitude of likely impact

Proposals that are equal in other respects might be prioritized according to the number of species, number of countries or extent of area that will benefit in each case; the scope for catalytic or “multiplier” effects, contribution to synergies or potential for acting as “flagship” cases for broadening outreach.

(vii) Cost-effectiveness

Proposals should specify the resources they require, but should also relate these to the scale of impact expected, so that cost-effectiveness can be judged.

¹ For cases where it appears that proceeding directly to the development of an Agreement or other instrument under Article IV of the Convention would be a better remedy, equivalent guidance and criteria for judging such proposals is provided in Resolution 11.12 and document UNEP/CMS/COP11/Doc.22.2/Annex 1.

C. Activities and expected outcomes

Activities to be undertaken should be specified, and their expected outcomes defined. This should address both institutional aspects (e.g. development of an Action Plan) and ecological aspects (e.g., targets for improved conservation status). Following the SMART standard (Specific, Measurable, Achievable, Relevant, Time-bound) will help; and the intended process for monitoring & evaluation should also be described.

D. Associated benefits

Opportunities to maximise added value should be identified, for example where actions targeting certain migratory animals may incidentally benefit other migratory species/taxa/populations, or where there is good scope for awareness-raising, capacity-building or encouraging new Party accessions.

E. Timeframe

Any elements of the action that are intended to be open-ended (e.g. measures to maintain conservation status) should be identified as such; and otherwise completion timeframes (and progress milestones where possible) should be specified.

F. Relationship to other CMS actions

Information should be given on how the action's implementation will relate to other areas of CMS activity. This may form part of its purpose, for example if it is designed to lead to an Agreement; or it may involve showing how the action will support the Strategic Plan or COP decisions. It may also be necessary to show how different Concerted Actions complement or interact with each other.